



PATENT

JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: DIETER HELDMANN ET AL.
SERIAL NO.: 10/785,627 GROUP: 1626
FILED: FEBRUARY 24, 2004
TITLE: PROCESS FOR THE ELECTROPHILIC SUBSTITUTION OF
THIAZOLIDINES OR OXAZOLIDINES

SUBMISSION OF INFORMATION DISCLOSURE
STATEMENT OF DR. ROBERT FRÄNKEL

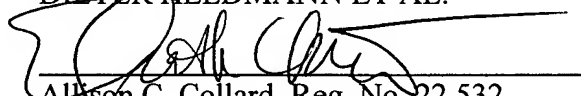
MAIL STOP NON-FEE AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants wish to bring to the attention of the Patent Examiner the attached Information Disclosure Statement, duly signed by Dr. Robert Fränkel, and the references listed on the enclosed Form PTO-1449 and attached thereto. It is respectfully requested that the foregoing Information Disclosure Statement be incorporated into the official file of the present patent application.

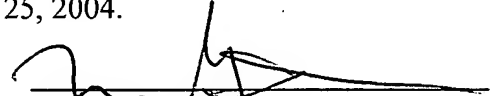
Respectfully submitted,
DIETER HELDMANN ET AL.


Allison C. Collard, Reg. No. 22,532
Edward R. Freedman, Reg. No. 26,048
Elizabeth Collard Richter, Reg. No. 35,103
Attorneys for Applicant

COLLARD & ROE, P.C.
1077 Northern Boulevard
Roslyn, New York 11576
(516) 365-9802
ECR:jc

Encs: Information Disclosure Statement of Dr. Robert Fränkel, German Office Action,
PTO-1449 form and nine (9) references

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on May 25, 2004.


Maria Guastella



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
HELDMANN ET AL. :
Serial Number 10/785,627 : Group Art Unit: 1626
Filed: February 24, 2004 :
For: PROCESS FOR THE ELECTROPHILIC SUBSTITUTION OF THIAZOLIDINES OR
OXAZOLIDINES

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Robert Fränkel, associated with the preparation and prosecution of the above-identified application, residing at Krottenkopfstr. 10, 81377 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and in the Office Action of the German Patent and Trademark Office and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

Seebach et al., Tetrahedron Letters, 1984, vol. 25, no. 24, pages 2545-2548, cited in the application, is already in the English language.

Seebach et al., Helvetica Chimica Acta, 1987, vol. 70, pages 1194-1216, cited in the application, is already in the English language.

G. Pattenden et al., Tetrahedron Letters, 1993, vol. 49, no. 10, pages 2131-2138, cited in the application, is already in the English language.

G. Mulqueen et al., Tetrahedron, 1993, vol. 49, no. 24, pages 5359-5364, cited in the application, is already in the English language.

WO 01/72702, cited in the application and the German office action, is already in the English language.

WO 01/72703, cited in the application, is already in the English language.


Seebach et al., Angew. Chem. 1988, 100, pages 1685-1715, cited in the application, is in the German language and is a review article on structure and reactivity of lithium enolates as of 1988. The chemistry of lithium enolates is used to demonstrate that complex structures held

together by noncovalent bonds (supramolecules) may dramatically influence the result of seemingly simple standard reactions of organic synthesis. Detailed structural data have been obtained by crystallographic investigations of numerous Li enolates and analogous derivatives. The most remarkable features of these structures are aggregation to give dimers, tetramers, and higher oligomers, complexation of the metal centers by solvent molecules and chelating ligands, and hydrogen-bond formation of weak acids such as secondary amines with the anionoid part of the enolates. The presence in nonpolar solvents of the same supramolecules has been established by NMR-spectroscopic, by osmometric, and by calorimetric measurements. The structures and the order of magnitude of the interactions have also been reproduced by ab-initio calculations. Most importantly, supramolecules may be product-forming species in synthetic reactions of Li enolates. A knowledge of the complex structures of Li enolates also improves our understanding of their reactivity. Thus, simple procedures have been developed to avoid complications caused by secondary amines, formed concomitantly with Li enolates by the common methods. Mixtures of achiral Li enolates and chiral Li amides can give rise to enantioselective reactions. Solubilization by LiX is observed, especially of multiply lithiated compounds. This effect is exploited for alkylations of N-methylglycine (sarcosine) CH₂ groups in open-chain oligopeptides. Thus, the cyclic undecapeptide cyclosporine, a potent immunosuppressant, is converted into a THF-soluble hexalithio derivative (without epimerization of stereogenic centers) and alkylated by a variety of electrophiles in the presence of either excess lithiumdiisopropyl amide or of up to 30 equivalents of lithium chloride. Depending on the nature of the LiX additive, a new stereogenic center of (R) or (S) configuration is created in the peptide chain by this process. A structure-activity correlation in the series of cyclosporine derivatives thus available is discussed.

Protecting Groups, P.J. Kocienski, Thieme Verlag, 1994, pages 185-243, cited in the application, is already in the English language.

Protecting Groups, P.J. Kocienski, Thieme Verlag, 1994, pages 118-154, cited in the application, is already in the English language.

Signed this 3rd day of March, 2004.



.....
Dr. Robert Fränkel

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO: Co 10221

SERIAL NO. 10/785,627

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT: HELDMANN ET AL.

FILING DATE: 02/24/04

GROUP: 1626

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	WO 01/72702	10/4/2001	PCT				
	AM	WO 01/72703	10/4/2001	PCT				
	AN							
	AO							
	AP							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		Seebach et al., Tetrahedron Letters, 1984, vol. 25, no. 24, pages 2545-2548
	AS		Seebach et al., Helvetica Chimica Acta, 1987, vol. 70, pages 1194-1216
	AT		G. Pattenden et al., Tetrahedron Letters, 1993, vol. 49, no. 10, pages 2131-2138

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. Co 10221

SERIAL NO. 10/785,627

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT HELDMANN ET AL.

FILING DATE: 02/24/04

GROUP: 1626

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		G. Mulqueen et al., Tetrahedron, 1993, vol. 49, no. 24, pages 5359-5364
	AS		Seebach et al., Angew. Chem. 1988, 100, pages 1685-1715
	AT		Protecting Groups, P.J. Kocienski, Thieme Verlag, 1994, pages 185-243

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. Co 10221		SERIAL NO. 10/785,627	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT HELDMANN ET AL.			
				FILING DATE: 02/24/04		GROUP: 1626	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AL						
	AM						
	AN						
	AO						
	AP						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AR		Protecting Groups, P.J. Kocienski, Thieme Verlag, 1994, pages 118-154				
	AS						
	AT						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							